

Declaration of various categories of market participants

Date: _____

From,

To

JHP Commodities Pvt Ltd.
201, Dev Neo Vikram,
Sahakar Nagar CHS,
New Link Rd, Above Audi Car Showroom,
Andheri (W),
Mumbai- 400053

In terms of the provisions of the Rules, Bye-Laws and Business Rules of the Exchange and in continuation to the Exchange Circular No. MCX/TRD/007/2019 dated January 4, 2019 issued pursuant to SEBI circular no. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019 on 'Disclosures by Stock Exchanges for commodity derivatives' on their website and Exchange Circular No. MCX/TECH/384/2019 dated July 23, 2019, further continuation to MCX exchange circular no. MCX/TECH/422/2019 dated Aug 08, 2019 & MCX/S&I/520/2021 issued by SEBI, regarding categorization of Participants in Commodities Derivatives, I/ We _____ (name of the client) having trading code _____ would like to provide following information:-

With reference to above, I/ We am/are dealing in mentioned commodities as per following category codes:

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(Please fill up proper category code in above boxes from following)

- 1) Farmers/FPOs
- 2) Value chain participants (VCPs)
- 3) Proprietary traders
- 4) Domestic financial institutional investors
- 5) Foreign participants
- 6) Others:- _____

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately.

Thanking you,

Yours truly,

X

Name :- _____

Definition of categories participants are as per the following:

- i. **Farmers/FPOs**: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
 - ii. **Value chain participants (VCPs)**: It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
 - iii. **Proprietary traders**: It includes the members of stock exchanges trading in their proprietary account.
 - iv. **Domestic financial institutional investors**: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
 - v. **Foreign participants**: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
 - vi. **Others**: All other participants which cannot be classified in the above categories.
- b. The categorization of the clients/members shall be made on self-declaration basis for each commodity. However, exchanges can re-classify any participant where it deems necessary to do so based on the information available with it. Exchanges shall be required to conduct periodical exercise to capture the above data. Thus, the exchange shall put in place necessary systems to capture the requisite information.
 - c. In case self-declaration is not obtained for a particular client for a particular commodity, positions of such client in such commodity shall be clubbed with "Others" category.
 - d. In case there are less than 10 participants in any category, the stock exchanges while disclosing the number of participants can disclose as "*less than 10*".
 - e. To begin with, stock exchanges shall make the disclosures on a weekly basis for every Wednesday by next Wednesday (and for next trading day in case of holiday on any Wednesday) by October 01, 2019. By April 01, 2020 onwards, such disclosures shall be made on daily basis by 6:00 PM on T+1 day