

KRCHOKSEY HOLDING PRIVATE LIMITED

Account Opening Form

<input type="checkbox"/>	Individual	<input type="checkbox"/>	Private Ltd	<input type="checkbox"/>	Public Ltd	<input type="checkbox"/>	NRE	<input type="checkbox"/>	Foreign Portfolio Investors (FPI)
<input type="checkbox"/>	Trust	<input type="checkbox"/>	Partnership	<input type="checkbox"/>	HUF	<input type="checkbox"/>	NRO	<input type="checkbox"/>	Other (Specify)_____

Client Name :- _____

A/C opens Date :- _____

Name of Investment Advisory	KRCHOKSEY HOLDING PRIVATE LIMITED
Registered Office & Contact details	1102,Stock Exchange Tower, Dalal Street, Mumbai - 400001 Phone: +91-22-66965555 Fax: +91-22-6633 8060
Corporate Office & Contact details	Abhishek Bldg, 5th Floor, Dalia Ind Estate, Link road, Andheri (West) Mumbai 400058 Phone: +91-22-6696-5555 Fax: +91-22-6691 9576
Investor Compliance E-mail Id	grievances_advisory@krchoksey.com
SEBI Investment Advisory Registration. No.	INA000011273
Website Name	www.krchoksey.com

Know Your Client (KYC)

1. General information about the client

- First Holder
FIRST NAME MIDDLE NAME LAST NAME
- PAN No _____
- Unique ID No (Aadhar) _____
- Nationality _____

Sign across photograph

- Second Holder
FIRST NAME MIDDLE NAME LAST NAME
- PAN No _____
- Unique ID No (Aadhar) _____
- Nationality _____

Sign across photograph

- Third Holder
FIRST NAME MIDDLE NAME LAST NAME
- PAN No _____
- Unique ID No (Aadhar) _____
- Nationality _____

Sign across photograph

- Registered Mobile No _____
- Email Id _____
- Primary Address _____

- Mailing Address _____

- Occupation _____

- Introducer Name _____
- Introducer Address _____

- Mobile No _____
- Email Id _____

2. Bank Account Details

Bank Name :- _____

Branch Name :- _____

Saving Bank Account Number :- _____

IFSC CODE :- _____

Declaration

I/ We hereby declare that the details furnished are true and correct to the best of my/ our knowledge and belief and I/ we undertake to inform you of any changes therein, immediately. In case the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/ we may be held liable for it.

RISK PROFILING QUESTIONNAIRE

Drawing up an investment plan specific to your needs requires us to understand your financial profile, risk tolerance and existing investment exposure. This Risk Profiling Questionnaire is designed to arrive at your risk appetite and corresponding asset allocation. It may not match your actual attitude toward investment risk, but it indicates the profile you fit into.

We request you to read this document in detail and carefully fill in the same.

Please Tick the appropriate option

1	Which age range do you fall into?	
a	Between 21 and 45	
b	Between 45 and 55	
c	Between 55 and 65	
d	Between 65 and 75	
e	Above 75 or under 21	
2	You generally look at investments with a time frame of:	
a	Less than 1 year	
b	Between 1 years to 2 years	
c	Between 2 years to 3 years	
d	Between 3 years to 5 years	
e	Beyond 5 years	
3	Your existing investments include:	
a	Fixed Deposits, Bonds, Mutual Funds, Equity, FNO, Alternative Investments etc.	
b	Fixed Deposits, Bonds, Mutual Funds & Direct Equity	
c	Fixed Deposits and Bonds	
d	Fixed Deposits	
e	None, Only held cash	
4	Your dependence on regular income from investments is:	
a	None – I don't plan on using income from my investments to meet my expenses in foreseeable future.	
b	Rare – I rarely use income from my investments to meet my expenses	
c	Low – Only small part of expenses are met by regular income from my investments	
d	High – I am highly depended on income from investments to manage my expenses	
e	Complete –Investments are the only source of income to manage my expenses.	

5	Generally, investments with higher potential returns also carry higher risk. How much risk are you prepared to take with the investment you are considering now?	
a	I understand market risk and am still willing to trade in equity and derivatives segment	
b	I actively seek high capital growth and am willing to potential exposure to some capital loss in pursuit of significant investment gains	
c	I am comfortable taking moderate risks and investing/ trading to achieve capital growth	
d	I am willing to take some risks in return for some capital growth potential	
e	I am uncomfortable with taking risks with my money and capital	
6	Approximately what percentage of your assets (excluding own use property) is currently held in investment products where the value can fluctuate?	
a	Over 50%	
b	Between >25% and 50%	
c	Between >10% and 25%	
d	Between >0% and 10%	
e	0	
7	Based on the range of possible outcomes shown, choose the portfolio which you would be most comfortable investing in:	
a	Profit 50% and Loss -25%	
b	Profit 25% and Loss -15%	
c	Profit 15% and Loss -10%	
d	Profit 10% and Loss -5%	
e	Profit 8% and Loss 4%	
8	Which answer best describes your level of Stock Market investment experience?	
a	Very experienced - have been investing/ trading in Equity and Derivatives market for more than years	
b	Fairly experienced - have been investing/trading in Equity / Derivatives market for last 4-5 years	
c	Have been trading in Equity market and have already started my Derivatives trading	
d	Have been trading in Equity market and am starting my Derivatives trading with you	
e	I am starting my stock market investments with you	

Fees Schedule

The fees payable by the Client shall be as follows.

Fees Category	Nature of Fees	Fees %	Please tick ✓
Category-1	Fixed Fees based on AUA		
Category-2	Fixed Fees based on Amount		

Please Note that the Fee would be charged on quarterly basis to the client on the completion of the quarter on proportionate basis in case of newly registered / discontinuance of service.

Statutory taxes and charges will be levied at actual rates.

Services under Investment Advisory

Equity Advisory	
Portfolio Advisory	

First / Sole Holder
/Authorized Signatory

Second Holder
/ Authorized Signatory

Third Holder
/ Authorized Signatory

Date :- _____

Place :- _____

Terms & Conditions

KRCHOKSEY HOLDING PRIVATE LIMITED (referred to as "KRCHPL"), is a company registered in India whose registered office is as 1102, Stock Exchange Tower, Dalal Street Mumbai-400001 India.

Investment Advisory Services ("Product") comprises of:

- A Risk Analyzer Questionnaire for assessing personal financial risk tolerance;
- A Client Profile for ascertaining Client's personal details, incomes, expenses, all financial investments, liabilities etc.
- A Risk Profile Report identifying Client's financial requirements and laying out asset allocation plan based on client's risk profile and a recommended equity portion of the asset allocation.

We submit that no material disciplinary action has been taken on KRCHOKSEY HOLDING PRIVATE LIMITED / affiliate/associate/group companies of KRCHPL

This questionnaire is designed to help investors identify an investment approach that could generally suit them. The results revealed are for general consideration only and in no way constitute an investment advice or a recommendation from KRCHOKSEY HOLDING PRIVATE LIMITED. (KRCHPL).

Client understands that any such transaction if done by Client which is not the part of recommended plan will be outside the scope of the advisory based Product. Client agrees to exercise his own discretion and diligence while dealing in transactions outside advisory services for which the Client himself is expected to transact as per his/her risk tolerance. KRCHPL shall not be held responsible for any such dealings done by the Clients himself if the same deviate from Client's known risk profile and/or from the recommended plan/ portfolio.

KRCHOKSEY HOLDING PRIVATE LIMITED (KRCHPL) shall ensure that information provided by you in Client Profile Questionnaire or any other information provided by you would be confidential and KRCHPL shall not disclose the same to any person/authority except as required under any law/regulatory requirements.

Investors should be fully aware of the risks involved in the investment products and/or trading in the securities market and should comply with all the regulatory guidelines. As a general principle, investors should ensure that they have set aside appropriate liquid funds to cater for 'emergencies' before considering investing. Investment Advisor has explained me/us the objective of Risk Profiling Questionnaire and the scoring system based on the above Questionnaire to determine my/our product suitability i.e. Debts, Cash Equities, Futures & Options, other asset classes, etc.

I/We understand that this questionnaire is merely designed to guide me/us in identifying an investment approach based on my/our risk appetite and financial capability and details given by me/us. KRCHPL will not be liable for any losses if any of the information given by me/us is false or incorrect. Any changes in my financial information will be informed to KRCHPL immediately.

Objective of Investment _____

First / Sole Holder
/Authorized Signatory

Second Holder
/ Authorized Signatory

Third Holder
/ Authorized Signatory

Checklist and Requirement

For Individuals

1. Recent Photographs (signed across)
2. PAN Card Certified True Copy (Mandatory)
3. Address proof
4. Aadhar card copy
5. Bank Proof
6. 1 Cheque of Rs.550 in Favour of "KRCHOKSEY HOLDING PRIVATE LIMITED"

Information Required for All Holder

1. Email ID
2. Mobile No
3. Place of Birth
4. Country of Birth
5. Gross Annual Income (INR)
6. Net worth in INR In Lacs
7. Father Name
8. Mother Name
9. Mother Maiden name
10. No of year experience in Share Market
11. No of Year Experience in Broking
12. Occupation details of Both Holders
 - a. Occupation- Self-Employee since
 - i. Salaried
 - ii. Self-employed
 - iii. Retired
 - iv. Housewife
 - v. Student
 - b. Nature of Business- Date of incorporation
 - i. Manufacturing
 - ii. Services Provider
 - iii. Stock broker
 - iv. Trader
 - v. other