# **MACY SHARES & STOCK BROKERS PVT.LTD**

Member, National Stock Exchange of India Ltd. Capital & Derivatives SEBI Regn No.: INZ000271830 Member Code: 07140

Regd. Office: 6 C1-2, Court Chambers, 35, Vithaldas Thakersey Marg,

New Marine Lines, Mumbai-400 020.Tel: 022-6639 0005/6/7 E-Mail:: macysecurities@gmail.com / macyshares@rediffmail.com

## MACY SHARES & STOCK BROKERS PVT LTD

POLICY FOR REDRESSAL OF INVESTOR GRIEVANCE

(Applicable for all exchanges/segment)

### 1. Background

MACY SHARES & STOCK BROKERS PVT LTD (MACY) is registered with the Securities and Exchange Board of India (SEBI) as:

1. Stock Broker (SEBI Registration Number INZ000271830)

As a matter of good practice, the policy for redressal of investor grievances is made available for the benefit of the investors who deal with MACY.

# 2. Name and Details of the person in charge of handling investor grievances

Mr. Mahesh C Khubchandani, Director is overall incharge of handling investor grievances

## 3. Procedures relating to Investor Grievance Register

☐ Investor Grievance Register will be maintained at all places that deal with investor including the Head Office that deal with Investor. The Register would contain Date of Grievance o Affected Party details Details of the Grievance

### 4. Investor Grievance Email ID

Email ID "macyshares@gmail.com" has been designated as the Investor in compliance with the requirements of the SEBI circular ref. MRD/DoP/Dep/SE/Cir-22/06 dated 18-Dec-06.

### 5. SEBI SCORES

All grievances in SEBI Complaints Redress System (SCORES) shall be looked into by MACY. Adequate steps will be taken for immediate redressal of grievances, but in any case not later than one month from the date of receipt of the complaint.

MACY SHARES & STOCK BROKERS PVT LTD

(Udhayakumar Mani Nadar) Compliance Officer

The above revised policy was approved by Macy Shares & Stock Brk.Pvt.Lt.d at the Board meeting held on 10-Nov-2022