## Investor Complaints Data by Sodhani Securities Ltd. -SB of NSE Data for the month ending April 2024

| Data for the month<br>ending April 2024 | Received<br>from             | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the month | Total<br>Pending | Resolved | Pending at the end of the month         |   | Average<br>Resolutio<br>n time (in<br>days) |
|---|------------------------------|---|---------------------------------|------------------|----------|---|---|---|
|   |                              |   |                                 |                  |          | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |   |
| 1                                       | 2                            | 3   | 4                               | 5                | 6        | 7                                       |   | 8   |
| 1                                       | Directly from<br>Investors   | 0   | 0                               | 0                | 0        | 0                                       | 0                                       | 0   |
| 2                                       | SEBI<br>(SCORES)             | 0   | 0                               | 0                | 0        | 0                                       | 0                                       | 0   |
| 3                                       | NSDL                         | 0   | 0                               | 0                | 0        | 0                                       | 0                                       | 0   |
| 4                                       | Other<br>Sources (if<br>any) | 0   | 0                               | 0                | 0        | 0                                       | 0                                       | 0   |
| 5                                       | Grand Total                  | 0   | 0                               | 0                | 0        | 0                                       | 0                                       | 0   |

Trend of monthly disposal of complaints

| Trend of monthly dispo | Month       | Carried<br>forward<br>from<br>previous<br>month | Received | Resolved | Pending |
|------------------------|-------------|---|----------|----------|---------|
| 1                      | 2           | 3   | 4        | 5        | 6       |
| 1                      | Aug – 2022  | 0   | 0        | 0        | 0       |
| 2                      | Sep – 2022  | 0   | 0        | 0        | 0       |
| 3                      | Oct – 2022  | 0   | 0        | 0        | 0       |
| 4                      | Nov – 2022  | 0   | 0        | 0        | 0       |
| 5                      | Dec – 2022  | 0   | 0        | 0        | 0       |
| 6                      | Jan – 2023  | 0   | 1        | 1        | 0       |
| 7                      | Feb – 2023  | 0   | 0        | 0        | 0       |
| 8                      | Mar – 2023  | 0   | 0        | 0        | 0       |
| 9                      | Apr – 2023  | 0   | 0        | 0        | 0       |
| 10                     | May – 2023  | 0   | 0        | 0        | 0       |
| 11                     | June – 2023 | 0   | 0        | 0        | 0       |

| 12 | July – 2023 | 0 | 0 | 0 | 0 |
|----|-------------|---|---|---|---|
| 12 | Aug – 2023  | 0 | 0 | 0 | 0 |
| 12 | Sep – 2023  | 0 | 0 | 0 | 0 |
| 12 | Oct - 2023  | 0 | 0 | 0 | 0 |
| 12 | Nov – 2023  | 0 | 0 | 0 | 0 |
| 12 | Dec - 2023  | 0 | 0 | 0 | 0 |
| 12 | Jan – 2024  | 0 | 0 | 0 | 0 |
| 12 | Feb – 2024  | 0 | 0 | 0 | 0 |
| 12 | Mar – 2024  | 0 | 0 | 0 | 0 |
| 12 | Apr – 2024  | 0 | 0 | 0 | 0 |
|    | Grand Total | 0 | 0 | 0 | 0 |

Trend of annual disposal of complaints

|   | Year        | Carried<br>forward<br>from<br>previous<br>year | Received<br>during<br>the year | Resolved<br>during<br>the year | Pending at<br>the endof<br>the year |
|---|-------------|--|--------------------------------|--------------------------------|-------------------------------------|
| 1 | 2017-18     | 0  | 0                              | 0                              | 0                                   |
| 2 | 2018-19     | 0  | 0                              | 0                              | 0                                   |
| 3 | 2019-20     | 0  | 2                              | 2                              | 0                                   |
| 4 | 2020-21     | 0  | 1                              | 1                              | 0                                   |
| 5 | 2021-22     | 0  | 1                              | 1                              | 0                                   |
| 6 | 2022-23     | 0  | 1                              | 1                              | 0                                   |
| 7 | 2023-24     | 0  | 0                              | 0                              | 0                                   |
|   | Grand Total | 0  | 5                              | 5                              | 0                                   |